

## 2012/13 Annual Internal Audit Plan

Status @ June 2013

**Corporate Governance Arrangements**

AGS - Internal Audit Opinion	Completed
Corporate Governance - CRSA	Report issued
Risk Management Arrangements audit review	In progress
Corporate Governance support	Support provided

**Managed Audit - Key financial and Non-financial Systems**

SAP Application Controls - policy, roles and access	Report issued
Financial Assessments and Charging	Report issued
Treasury Management	Report issued
Accounts Payable	Report issued
Payroll	Report issued
Capital Expenditure Monitoring	Report issued
Accounts Receivable	Report issued
Revenue Budgetary Control	Report issued
General Ledger	Report issued
Pension Administration	Report issued
Pension Fund Investments	Report issued

**Grants**

EU Grants	Completed
Government Grants	Completed

**Contract Reviews**

Surrey Highways contract	Report issued
Major Scheme design/contract management (TravelSmart)	Report issued
Residential Care block contract	Report issued
Street Lighting - illuminated street furniture contract	Report issued

**Adult Social Care**

Personalisation (AIS & SWIFT)	Audit cancelled
Residential Care establishments	Report issued
Domiciliary Care – in house	Report issued
Home Collect	Audit cancelled
Social Care Transport	Report issued
Serious Untoward Incidents	Audit cancelled
AIS – assessment module	Deferred to 2013/14
Telecare	Report issued

**Children Schools and Families**

Schools compliance (& FMSiS replacement)	Report issued
ICS – foster care module	In progress
Children in Care – care leavers	Report issued
Youth Service Transformation	In progress
Commercial Services	Report issued
Special Schools – in-house/external	Report issued
Local Safeguarding Boards	Report issued

**Customers and Communities**

Registration Service	Report issued
Fire stations	Report issued
Surrey Arts Income	

**Change and Efficiency**

Information Governance	Support provided
Procurement Standing Orders	Audit cancelled
Asset Management ICT	Report issued
Property Asset management	Deferred to 2013/14
Purchase Cards	Report issued
Health and Safety	Report issued
Network Controls	Report issued
Data Centre/ operation controls	In progress
Making a Difference	Report issued
Management of Capital Building Programme/Projects	Report issued
Social Media	Report issued
Absence Management	Support provided
CRB Clearance	Report issued
Corporate Training Management	Report issued
HR Procedures (recruitment)	Report issued
Building Maintenance	Report issued
Shared Services Partnership arrangements	Deferred to 2013/14

**Chief Executive's Directorate**

Superfast Broadband	Report issued
Records Management	Report issued
Data Quality KPIs	Report issued
Data Protection compliance	Report issued

**Environment and Infrastructure**

Carbon Reduction Scheme	Report issued
Concessionary Fares	Report issued
Materials Testing Laboratory	Report issued
S106 Developer agreements/CIL	Report issued
Community Pride	In progress
Streetworks Function	In progress
Asset Management Planning	Deferred to 2013/14

**Public Health**

Report issued

**Follow-up Audits including**

Transportation Co-ordination Centre (PVR)	Audit cancelled
Direct Payments	Report issued
Rental Income	Report issued
Babcock 4S	Report issued